

**FINANCIAL SERVICES (FINANCIAL SERVICES  
ORDINANCE) REGULATIONS 2010**

**Arrangement of Regulations**

**REGULATION**

1. Citation and commencement
2. Administered Ordinances
3. Regulatory Ordinances

**FINANCIAL SERVICES (FINANCIAL SERVICES  
ORDINANCE) REGULATIONS 2010**

*(Legal Notice 20 of 2010)*

**MADE** by the Governor under sections 2 and 53 of the Financial Services Ordinance.

Citation and commencement

1. These Regulations may be cited as the Financial Services (Financial Services Ordinance) Regulations, 2010 and shall come into effect on 15<sup>th</sup> October 2010.

Administered Ordinance

2. An Ordinance specified in Part I of the Schedule is prescribed as an administered Ordinance for the purposes of section 2 of the Financial Services Ordinances 2007.

Regulatory Ordinance

3. An Ordinance specified in Part II of the Schedule is prescribed as a regulatory Ordinance for the purposes of section 2 of the Financial Services Ordinance 2007.

**SCHEDULE**

*(Regulations 2 and 3)*

**FINANCIAL SERVICES ORDINANCES**

**PART I – ADMINISTERED ORDINANCE**

Business Names (Registration) Ordinance (Cap 129)  
Companies Ordinance (Cap 122)  
International Financial Institutions Ordinance (Cap 120)  
Limited Partnerships Ordinance (Cap 126)  
Patents Ordinance (Cap 31)  
Trade Marks Ordinance 2007

**PART II – REGULATORY ORDINANCE**

Banking Ordinance 1979  
Banking (Special Provisions) Ordinance 1979  
Company Management (Licensing) Ordinance 1999  
Insurance Ordinance 1989  
Investment Dealers (Licensing) Ordinance 2001  
Money Transmitters Ordinance 2007  
Mutual Funds Ordinance 1998  
Trustees Licensing Ordinance 1992

**DATED** this 21<sup>st</sup> day of September 2010.

**GORDON WETHERELL  
GOVERNOR**

## **EXPLANATORY NOTE**

*(This note is not part of the Regulations)*

These Regulations specify the financial services Ordinances that are administrative Ordinances and those that are regulatory Ordinances.